
MEMORANDUM

To: Persons Interested in SVO Filing Procedures and Practices
From: Charles Therriault, Director, NAIC Securities Valuation Office
Re: How to Obtain Code 99 Letters for 2015 Year-End Securities Properly Filed with the SVO
Date: January 13, 2016

1. Year End Filing Process – The *Purposes and Procedures Manual* provides that insurers that are required by state law to report an NAIC Designation shall file their investment securities or transactions with the SVO for the assignment of an NAIC Designation (unless exempt from such filing). Any insurer owned security required to be filed with the SVO must be filed within 120 days after its initial purchase and annually resubmitted prior to September 1 of each subsequent reporting year. Because the SVO may not be able to provide an NAIC Designation to the insurer for a security purchased in the year-end time period, insurers are permitted to self-designate and report a security to its regulators with the administrative symbol **Z** provided the insurer complies with the 120 day rule defined in Part Two, Section 5(d) of the *Purposes and Procedures Manual*. The **Z** process permits the insurer to timely file its financial statement blank with state insurance regulators and permits the SVO to complete its year-end assessment obligation to the regulatory community after year-end.

2. The Code 99 Letter Communication - When the year-end process concludes the SVO must compile and publish the content of the system into the VOS Products. This means one year's electronic record is closed and the new filing year's record commenced. NAIC Designations for insurer owned securities properly filed with the SVO for that year-end and assessed by the SVO after the year-end process ends therefore cannot be entered into the SVO system for that filing year but are recorded in the current year's database. NAIC Designations assigned to such securities are disseminated through the Code 99 Letter instead. The Code 99 Letter conveys the result of SVO's credit risk for a security to the insurer that filed it and is intended to be used by the insurer as confirmation to its state insurance regulator and examiners that the insurer complied in all respects with NAIC filing requirements.

3. How to Obtain Your Code 99 Letter – The Securities Valuation Office has posted onto the [NAIC website](#) and on the AVS+ system a listing of the securities that will be processed as a Code 99 in 2016 for the 2015 filing year. The SVO will send a Code 99 Letter to the filer of record in its system after it has completed the analysis. Any other insurer holding the security may also request a copy of the Code 99 Letter. The list of Code 99 securities will be periodically updated to reflect the status of these securities.

Please contact the SVO Inquiry Desk if you have any questions at: SVOInquiryDesk@naic.org or call 212.398.9000.



Outstanding Status of "Code 99" Letters for 2015 Year-End Securities Properly Filed with the SVO
Updated: 4/25/16

ATF	CUSIP	ISSUER NAME	FILING TYPE	STATUS	STATUS DATE
685645	78443*AK7	SLBP HLDGS CORP	Annual Update	Terminated	2/2/2016
685892	49269*AD5	KETTLE CUISINE LLC	Initial Filing	Completed	2/12/2016
685694	00841@AA9	AGATE FINL LLC	Initial Filing	Completed	2/4/2016
685926	194638AN2	COLLIER CNTY FLA INDL DEV AUTH	Initial Filing	Completed	3/23/2016
683588	94978#BR7	WELLS FARGO BK NORTHWEST N A	Initial Filing	Completed	2/1/2016
685124	200568DH3	COMMERCE CALIF REDEV AGY	Initial Filing	Completed	1/27/2016
685118	30648#AA9	FALLBROOK TECHNOLOGIES INC.	Initial Filing	Completed	2/12/2016
683401	F3755@AA1	FAIVELEY TRANS	Annual Update	Completed	1/28/2016
684667	78653#AA0	SAFEMARK INC /SAFEMARK HLDGCOR	Initial Filing	Completed	2/23/2016
685482	72119*AC1	PIKE CNTY LT & PWR CO	Annual Update	Completed	1/28/2016
686087	45129WMT4	IDAHO HSG & FIN ASSN	Initial Filing	Pending	
684997	00119*124	AGI HOLDCO INC	Initial Filing	Expired	2/29/2016
685309	194740JM9	COLLIN CNTY TEX	Initial Filing	Completed	1/22/2016
683679	04472*AL5	ASHLEY FURNITURE INDS INC	Initial Filing	Completed	1/29/2016
682755	21685LBB6	COOPERATIVE DIST FT SPANISHALA	Annual Update	Completed	1/20/2016
683947	98159*AB9	WORLDWIDE MACHINERY LTD/WORLDW	Annual Update	Completed	1/14/2016
683832	873472EH3	TACOMA WASH CONS LOC IMPTDISTS	Annual Update	Completed	1/29/2016
683994	Q6646*AA7	NEW TERM FING CO PTY LTD	Initial Filing	Completed	1/22/2016
679731	83413EAH9	SOLAR CCG COML POOL III INC	Initial Filing	Completed	1/19/2016
677915	90350CAA8	U S RESIDENTIAL OPPORTUNITY FD	Initial Filing	Completed	3/31/2016
685723	349545W33	FORT ZUMWALT MO SCH DIST	Initial Filing	Completed	1/20/2016
686063	Q2594@AA6	COCA-COLA AMATIL (NZ) LTD	Initial Filing	Completed	2/2/2016
686085	101388AA4	BOTTOMLINE TECHNOLOGIES DEINC	Annual Update	Completed	2/11/2016
686118	74464@102	PRUDENTIAL CAP PARTNERS IV LP	Annual Update	Completed	1/20/2016
685585	H84140112	SYNGENTA AG, BASEL	Annual Update	Completed	1/21/2016
681463	61762HAC6	MORGAN STANLEY RE REMIC TR2013	Initial Filing	Terminated	1/19/2016
683033	G8278*AB7	SOUTH WEST ARPTS LTD	Initial Filing	Pending	
686101	419792DY9	HAWAII ST	Initial Filing	Completed	1/21/2016
686078	09228FAB9	BLACKBERRY LTD	Annual Update	Completed	1/20/2016
685376	G7228#AC4	PRESIDENT & SCHOLARS OF THECOL	Initial Filing	Completed	3/18/2016
684144	42703MAB9	HERBALIFE LTD	Initial Filing	Completed	1/21/2016
684755	18055#AU6	CLARION LION PPTYS FD HLDGS LP	Initial Filing	Completed	1/14/2016
685125	655663E@7	NORDSON CORP	Initial Filing	Completed	1/29/2016
685175	75936@AA7	REINVESTMENT FUND INC	Annual Update	Pending	
685261	726110DD8	PLACERVILLE CALIF PUB FINGAUTH	Annual Update	Completed	4/14/2016
682719	E35471AA4	SACYR SA, MADRID	Initial Filing	Completed	1/25/2016
685749	649713CH5	NEW YORK N Y CITY TRAN AUTHMET	Annual Update	Completed	2/16/2016



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685625	Q0832*AE6	AUSTRALIA PACIFIC AIRPORTSMELB	Initial Filing	Completed	3/8/2016
686083	G8759@AA5	TENSATOR HLDGS LTD	Annual Update	Completed	1/21/2016
685712	88150*AA1	TERRENO RLTY LLC	Initial Filing	Completed	2/11/2016
683883	07132#AC6	BATTELLE MEM INST	Annual Update	Completed	2/3/2016
683281	Q3917#AA2	FLINDERS PORT HLDGS PTY LTD	Initial Filing	Terminated	1/22/2016
686201	008016A@6	AEROSPACE CORP	Annual Update	Completed	2/4/2016
686072	126349AC3	CSG SYS INTL INC	Annual Update	Completed	2/26/2016
685558	74991@AA2	R G BARRY CORP	Initial Filing	Completed	1/19/2016
686017	88580@AA	3 TIMES SQUARE ASSOCS LLC	Initial Filing	Expired	2/29/2016
685337	73932@AA6	POWER SVCS HLDG CO	Annual Update	Completed	1/14/2016
683753	87284#AA8	THL CR FDG LLC	Initial Filing	Pending	
683668	55333*AA0	MC SIGN LLC	Initial Filing	Completed	1/29/2016
684004	75528@AC7	RE COLUMBIA 3 LLC	Annual Update	Completed	1/14/2016
685369	228047A*7	CROWLEY HLDGS INC	Initial Filing	Completed	2/29/2016
685210	C5916*AA7	NSC MINERALS ACQUISITION CORP	Annual Update	Completed	2/16/2016
684943	05331@AA5	AUTOMOBILE PROTECTIONCORP - AP	Initial Filing	Expired	1/15/2016
684055	G0827#BD1	BARRATT DEVS PLC	Annual Update	Completed	3/10/2016
685594	349553D@3	FORTIS INC	Initial Filing	Completed	3/10/2016
685596	68620YA@1	ORIGIN ENERGY FIN LTD	Initial Filing	Terminated	2/23/2016
685413	744355K#4	PRUDENTIAL RLTY SECS INC	Initial Filing	Completed	2/12/2016
685442	18055#AT9	CLARION LION PPTYS FD HLDGS LP	Initial Filing	Completed	1/14/2016
683831	45203HMV1	ILLINOIS FIN AUTH REV	Annual Update	Completed	2/1/2016
684230	94675@AA3	WAYS TO WORK INC	Annual Update	Pending	
684245	35851*AA4	FRIENDS OF MARION P THOMASCHAR	Annual Update	Completed	2/10/2016
684255	44416*AA4	HUDSON TRANSMISSION PARTNERSLL	Annual Update	Completed	1/22/2016
682990	W2710#AA6	ELLEVIO SVERIGE AB	Initial Filing	Completed	1/21/2016
684778	Y0020QAA9	AEGEAN MARINE PETROLEUM NETWORK	Annual Update	Completed	2/8/2016
685215	63902@AA3	NATURESWEET LTD	Annual Update	Completed	1/13/2016
683663	74036YAB4	PRECYSE ACQUISITION CORP. - SY	Initial Filing	Completed	1/29/2016
685141	45203HV90	ILLINOIS FIN AUTH REV	Initial Filing	Completed	2/24/2016
683063	26885@AA1	ERG HOLDING CO LLC /EVOLUTION	Annual Update	Expired	2/1/2016
683083	Y7934RAB5	SILICONWARE PRECISION INDUSTRI	Initial Filing	Completed	1/26/2016
683129	Q6518#AA8	NSW PORTS FIN CO PTY LTD	Initial Filing	Completed	1/21/2016
685696	60000*AA2	MILL POND TOWER NON-PROFIT HSG	Initial Filing	Expired	2/29/2016
685292	031100F#2	AMETEK INC NEW	Initial Filing	Completed	2/2/2016
685720	G5824M107	MARKS & SPENCER PLC, LONDON	Annual Update	Completed	1/28/2016
686169	89837#AH1	TRUSTEES ESTATE BERNICE PAUAHI	Annual Update	Completed	1/14/2016



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685500	94769#AA8	WEBER-STEPHEN PRODS LLC	Annual Update	Pending	
685532	78488@AB1	SVF HLDG REAL ESTATE TR	Initial Filing	Completed	3/4/2016
686082	12640*AC5	CST INDS INC	Annual Update	Completed	2/4/2016
686005	649519DB8	NEW YORK LIBERTY DEV CORPLIBER	Initial Filing	Completed	3/31/2016
684260	G5480@AA7	LIBERTY LIVING PPTYS LTD	Annual Update	Completed	2/2/2016
684341	55267@AA	MD / DX INC	Initial Filing	Expired	2/29/2016
683449	41528@AA3	HARRISON GYPSUM LLC	Annual Update	Completed	1/15/2016
685902	Q7450@AE0	PERTH ARPT PTY LTD	Initial Filing	Completed	3/10/2016
686044	Q3393*AL5	ELECTRANET PTY LTD	Initial Filing	Completed	1/27/2016
685294	031100F@4	AMETEK INC NEW	Initial Filing	Completed	2/2/2016
685406	40416EAB9	HCI GROUP INC	Initial Filing	Expired	1/26/2016
685042	36191GAA5	GNC HLDGS INC	Initial Filing	Completed	1/26/2016
684395	Q9193@AH1	TRANSPACIFIC INDS GROUP LTD	Annual Update	Completed	1/25/2016
685313	87656XA*2	TATE & LYLE INTL FIN PLC	Initial Filing	Completed	1/29/2016
682797	82968#AA2	SIRSI CORP	Initial Filing	Completed	1/28/2016
684361	55298*AA	MEPT ARENA CORPORATE CTR LLC /MEPT LIGHTON PLAZA LLC /	Initial Filing	Expired	2/29/2016
684266	55297*AA	MEPT WEST HILLS LLC / MISSIONTRAILS LLC	Initial Filing	Expired	2/29/2016
685211	G5312@AA1	KONDOR BIDCO LTD	Annual Update	Completed	1/22/2016
685245	94106*AF3	WASTE CONNECTIONS INC &SUBSIDI	Initial Filing	Completed	2/8/2016
685416	29375@AE4	ENTERPRISE CMNTY LN FD INC	Initial Filing	Pending	
684881	737662A@5	POTOMAC EDISON CO	Initial Filing	Completed	1/26/2016
684181	B3363*AA1	DOLOMIES DE MARCHE-LES-DAMES S	Initial Filing	Expired	3/31/2016
684689	00762VAE9	AECON GROUP INC	Initial Filing	Completed	1/29/2016
684631	G7245*AA1	PRINCIPAL FELLOWS & SCHOLARSHO	Initial Filing	Completed	3/15/2016
684849	151290BC6	CEMEX S A B DE C V	Initial Filing	Completed	1/29/2016
685105	53359#AB8	LINCOLN ELEC HLDGS INC /LINCOL	Initial Filing	Completed	2/1/2016
685106	54750@AC1	LOW INCOME INVT FD	Annual Update	Pending	
685110	75972*114	REMPREX LLC	Initial Filing	Completed	2/12/2016
682792	41283@AD2	HARLEY MARINE SVCS INC /OLYMPI	Initial Filing	Completed	1/14/2016
683395	29375@AD6	ENTERPRISE CMNTY LN FD INC	Initial Filing	Pending	
685142	70343#AD7	PATRIOT RAIL CORP / TENNSOUTHN	Annual Update	Completed	2/19/2016
685534	L2494@AA2	DIAPERUM HLDG S A R L	Initial Filing	Completed	2/16/2016
684874	29269QAG2	ENERGY FUTURE INTER HLDG COLLC	Initial Filing	Completed	1/21/2016
685111	64066#AA1	NEPHRON PHARMACEUTICALS CORP /	Annual Update	Completed	2/3/2016
685425	744355J*0	PRUDENTIAL RLTY SECS INC	Initial Filing	Completed	2/12/2016
686077	185508A#5	CLECO PWR LLC	Initial Filing	Completed	2/2/2016



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684835	24762#AB0	DELTA EDUCATION SYS INC	Annual Update	Completed	2/22/2016
684235	05836*AA7	BALDWIN WIND LLC	Annual Update	Completed	1/20/2016
677914	89789TAA4	TRUMAN CAP MTG LN TR 2014-NPL1	Initial Filing	Completed	3/31/2016
685319	F2919#AB2	EFRONT HLDG SAS	Initial Filing	Completed	2/5/2016
682741	G77732CJ5	J.SAINSBURY PLC, LONDON	Initial Filing	Completed	1/29/2016
684005	55455#100	MACKAY MUN OPPORTUNITIES FDL P	Initial Filing	Completed	4/15/2016
685377	G9302#AA3	UNIVERSITY OF WEST LONDON	Initial Filing	Completed	1/28/2016
685396	51329#AA1	LAMBERTON FDG LLC	Initial Filing	Expired	1/26/2016
685080	00258*AA7	AAVID CORP	Initial Filing	Completed	2/12/2016
684903	45673*AC5	INFORMATION INNOVATORS INC	Initial Filing	Completed	2/8/2016
684093	70438#AA9	PAYMENT ALLIANCE PROCESSINGCOR	Annual Update	Completed	1/19/2016
685483	15191#103	CENTERFIELD MEDIA HLDG CO	Initial Filing	Completed	1/26/2016
679727	88340@AB8	THELAURAW SPE 2000-I INC	Initial Filing	Terminated	1/28/2016
685135	52327*149	LECTRUS HLDG CORP	Initial Filing	Expired	3/31/2016
685364	37145QAD2	GENERAL WTRWKS CORP MEDIUMTERM	Initial Filing	Expired	2/1/2016
685451	39186*AF1	GREATER HARTFORD BUS DEV CTR	Annual Update	Pending	
685731	64971CUN2	NEW YORK N Y CITY INDL DEV AGY	Annual Update	Pending	
680782	25615NAA1	DOCUMENT TECHNOLOGIES LLC - S	Initial Filing	Expired	2/1/2016
677925	67134QAA8	OAK HILL ADVISORS RESIDENTIAL	Initial Filing	Completed	3/31/2016
685422	29789@AA2	EUCLASE FINL LLC	Initial Filing	Expired	1/26/2016
683674	04013#AA1	ARES CLO MGMT LLC	Initial Filing	Pending	
682970	35701#AA2	FREEWAY LTG PARTNERS LLC	Initial Filing	Completed	1/26/2016
686010	46457*AB7	ISLAND VENTURES II L L C	Initial Filing	Completed	3/18/2016
683259	Q3917#AB0	FLINDERS PORT HLDGS PTY LTD	Initial Filing	Terminated	1/22/2016
685356	68244VAB0	1155 IS AVE LLC	Initial Filing	Completed	3/15/2016
684572	57583UVU9	MASSACHUSETTS ST DEV FIN AGYRE	Annual Update	Completed	2/2/2016
685094	92763AAB7	VIOLIN MEMORY INC	Initial Filing	Terminated	2/2/2016
684640	54750@AD9	LOW INCOME INVT FD	Initial Filing	Pending	
683996	92511QBB6	VERRADO CMNTY FACS DIST NO 1AR	Annual Update	Completed	2/17/2016
686073	587200AK2	MENTOR GRAPHICS CORP	Annual Update	Completed	3/22/2016
683260	26928*AA2	EY GLOBAL FIN INC	Initial Filing	Completed	1/22/2016
686004	51778DBF0	LAS VEGAS NEV SPL IMPT DISTNOS	Initial Filing	Completed	2/29/2016
684791	92941#AA3	WS LT & PWR INC	Annual Update	Completed	1/27/2016
685537	T6444Z110	LUXOTTICA GROUP SPA, BELLUNO	Annual Update	Completed	1/27/2016
685375	G7228#AB6	PRESIDENT & SCHOLARS OF THECOL	Initial Filing	Completed	3/18/2016
685391	69391#AA6	PLIC HLDGS LLC	Initial Filing	Expired	1/26/2016
684712	09257WAA8	BLACKSTONE MTG TR INC	Initial Filing	Completed	2/10/2016



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684919	3556945D8	FREDERICK CNTY MD	Initial Filing	Completed	1/22/2016
685280	K3752#AH1	COPENHAGEN ARPTS A / S	Initial Filing	Completed	2/19/2016
685546	Q2107#AQ9	CONTACT ENERGY LTD	Initial Filing	Completed	2/9/2016
683928	37940@AA1	GLOBAL RENTAL CO INC	Initial Filing	Completed	1/14/2016
683463	44045*AA3	HORIZON SNACK FOODS INC /NEMOS	Annual Update	Completed	1/15/2016
686088	91743PAZ8	UTAH HSG CORP	Initial Filing	Pending	
685318	C9797*AA3	WOMENS COLLEGE PARTNERSHIP	Initial Filing	Completed	2/26/2016
686024	52472#AB2	LEGAL COVERAGE GROUP LTD	Initial Filing	Completed	3/22/2016
685523	D19225107	DEUTSCHE POST AG, BONN	Annual Update	Completed	1/21/2016
682926	63108@AA5	NASCAR HLDGS INC	Annual Update	Completed	2/29/2016
682541	36243*AC5	GTS HLDGS INC / EMPIRE INTLLTD	Initial Filing	Completed	1/14/2016
684045	74950#AA9	REP UNI HLDGS LLC /UNISHIPPERS	Initial Filing	Completed	2/2/2016
686127	65061#AA3	NEWBAY MEDIA LLC	Annual Update	Completed	2/16/2016
685136	96301#AC4	WHEELER MACHY CO	Annual Update	Completed	2/19/2016
686001	Q2107#AN6	CONTACT ENERGY LTD	Initial Filing	Completed	2/9/2016
686174	815698AA3	SEDGWICK & SHAWNEE CNTYS KANSS	Initial Filing	Pending	
683966	00506*AA7	ACTION RES INC	Initial Filing	Completed	1/21/2016
684933	46333XAC2	IRONWOOD PHARMACEUTICALS INC	Initial Filing	Completed	2/24/2016
685752	98267VCM3	WYANDOTTE CNTY/KANS CITY KANSU	Initial Filing	Completed	3/15/2016
683596	69391@AA8	PACE INDS INC / PACE INDS LLC/	Initial Filing	Completed	1/21/2016
683739	G6596#AB2	NORTH WEST ELECTRICITYNETWORKS	Initial Filing	Completed	1/26/2016
685623	75523@AF5	RAZA DEV FD INC	Initial Filing	Pending	
683107	Q6518#AB6	NSW PORTS FIN CO PTY LTD	Initial Filing	Completed	1/21/2016
684006	55454#101	MACKAY MUN CR OPPORTUNITIES FD	Initial Filing	Completed	4/15/2016
683935	04571@AA7	ASSOCIATED MILK PRODUCERS INCK	Annual Update	Completed	2/23/2016
683781	G5304*AB1	KNOWSLEY HSG TR	Initial Filing	Completed	1/15/2016
683978	75527#AC6	RE ROSAMOND TWO LLC	Annual Update	Completed	1/14/2016
685350	86732YAD1	SUNEDISON INC	Initial Filing	Completed	2/1/2016
684816	62971@AA1	N V PERRICONE LLC	Initial Filing	Completed	1/20/2016
683333	29404#AA5	ENVIRO WTR SOLUTIONS LLC	Initial Filing	Completed	1/21/2016
684632	T5398@AA4	ILLYCAFFE S P A	Initial Filing	Completed	2/16/2016
685901	49380@AA3	KIDKRAFT INC	Initial Filing	Completed	3/22/2016
684003	75526#AD5	RE GILLESPIE 1 LLC	Annual Update	Completed	1/20/2016
685161	44860#AA1	HYBRID DESIGN ASSOCS LLC	Annual Update	Completed	1/29/2016
685932	251093S92	DETROIT MICH	Initial Filing	Completed	2/18/2016
685934	546282H49	LOUISIANA LOC GOVTENVIRONMENTA	Initial Filing	Completed	4/8/2016
685174	G9877#AB6	YOURCASH SOLUTIONS LTD	Annual Update	Completed	1/22/2016



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685341	G2956@AQ4	ABP ACQUISITIONS UK LTD	Initial Filing	Completed	2/26/2016
682829	20047#AA7	COMM-WORKS INVT HLDG CO LLC /C	Initial Filing	Completed	1/14/2016
685417	36381PAP2	GALLIA CNTY OHIO HOSP FACS REV	Annual Update	Completed	2/25/2016
685349	09323#AE7	BLANCHARD MACHY CO	Initial Filing	Completed	2/29/2016
684926	52327@AA3	LECTRUS CORP	Initial Filing	Completed	2/11/2016
684002	75527@AD6	RE ROSAMOND ONE LLC	Annual Update	Completed	1/14/2016
683524	73180#AA3	POLYTEX ENVIRONMENTAL INKSINC	Annual Update	Completed	1/13/2016
683789	57888*AA5	MBS PARENT LLC	Annual Update	Completed	4/20/2016
684905	52471@AB5	LEG INC	Initial Filing	Completed	1/22/2016
685316	G4378*AC3	HEATHROW ARPT LTD	Initial Filing	Completed	2/2/2016
685555	G5207@AA9	JPMORGAN EUROPEAN INVT TR PLC	Initial Filing	Completed	1/15/2016
685569	78488@AA3	SVF HLDG REAL ESTATE TR	Initial Filing	Completed	3/4/2016
684988	F2000#AA4	COMPAGNIE DES LEVURES LESAFFRE	Annual Update	Completed	2/23/2016
683776	68244VAA2	1155 IS AVE LLC	Initial Filing	Completed	3/15/2016
685557	55369#121	MRGB HOLD CO	Initial Filing	Expired	3/31/2016
684053	G4378*AB5	HEATHROW ARPT LTD	Initial Filing	Completed	2/2/2016
685540	04639@AD1	ASTRODYNE CORP	Initial Filing	Completed	2/4/2016
686020	97786#AK8	WOLSELEY CAP INC	Initial Filing	Completed	2/26/2016
685109	75972*AA4	REMPREX LLC	Initial Filing	Completed	2/12/2016
684133	15191@AA3	CENTERFIELD MEDIA HLDGS LLC	Initial Filing	Completed	1/27/2016
685521	01885@AB2	ALLIANCE RES OPER PARTNERS L P	Annual Update	Terminated	1/13/2016
684247	55368@AA6	MSI LTG INC	Initial Filing	Completed	2/5/2016
684250	55448#AA8	MACHO SPRINGS PWR I LLC	Annual Update	Completed	1/21/2016
684244	204028E#7	COMMUNITY REINVESTMENT FD INC	Annual Update	Completed	4/20/2016
684249	89148*AA4	TOSCA SVCS LLC	Annual Update	Completed	2/5/2016
685113	91085*AA5	UNITED MED SYS (DE) INC & UMSA	Initial Filing	Completed	2/3/2016
685745	G74079107	RECKITT BENCKISER GROUP PLC, S	Annual Update	Completed	1/21/2016
685566	89472#AG3	TREK BICYCLE CORP	Annual Update	Completed	2/19/2016
685621	810759PA2	SCRANTON PA	Annual Update	Completed	2/10/2016
683985	73986#AA9	PRATT PAPER (LA) LLC	Annual Update	Completed	1/13/2016
684252	G0827#BC3	BARRATT DEVS PLC	Annual Update	Completed	3/10/2016
685931	251093S84	DETROIT MICH	Initial Filing	Completed	2/17/2016
685237	655663D#6	NORDSON CORP	Annual Update	Completed	1/29/2016
685295	64577*AA7	NEW JERSEY CMNTY CAP FD #1 LLC	Initial Filing	Pending	
683727	65557*AC4	NORDCO INC	Initial Filing	Completed	1/28/2016
686013	939783SK3	WASHINGTON ST HSG FIN COMMNON	Initial Filing	Pending	
685397	744355K*8	PRUDENTIAL RLTY SECS INC	Initial Filing	Completed	2/12/2016



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684182	B3363*AB9	DOLOMIES DE MARCHE-LES-DAMES S	Initial Filing	Expired	3/31/2016
684253	41283@AB6	HARLEY MARINE SVCS INC /OLYMPI	Annual Update	Completed	1/14/2016
684256	B6385#AE6	OMEGA PHARMA N V	Annual Update	Completed	1/21/2016
684709	G1949*AB1	CASTLEGATE 740 LTD	Initial Filing	Completed	1/22/2016
683396	57776#108	MAXOR EQUITY LLC	Annual Update	Completed	1/19/2016
685687	T0440#AA1	AQUAFIL S P A	Initial Filing	Completed	2/3/2016
685657	78476*AB7	STG-FAIRWAY ACQUISITIONS INC	Initial Filing	Completed	2/8/2016
685331	87656XA#8	TATE & LYLE INTL FIN PLC	Initial Filing	Completed	1/29/2016
683443	05332@AA4	AUTOSPLICE INC	Annual Update	Completed	1/28/2016
683434	60468*AA7	MIRATECH INERMEDIATE HLDGS INC	Annual Update	Completed	1/26/2016
683469	81739*AA6	SEOTOWNCENTER INC	Initial Filing	Completed	1/29/2016
685981	04367@123	ASEPTIA INC	Annual Update	Completed	2/5/2016
684922	45673*AA9	INFORMATION INNOVATORS INC	Initial Filing	Completed	2/8/2016
684904	52471@AA7	LEG INC	Initial Filing	Completed	1/22/2016
685938	P7077@AG9	NASSAU AIRPORT DEV CO LTD	Annual Update	Completed	3/7/2016
686107	77880*AA1	ROTORCRAFT LEASING CO LLC /HMC	Initial Filing	Completed	3/1/2016
683861	03938*AA9	ARCH GLOBAL PRECISION LLC /ARC	Initial Filing	Completed	2/17/2016
685063	53575#AJ9	LINK SNACKS INC	Annual Update	Completed	2/17/2016
682774	34073JAQ2	FLORIDA HSG FIN CORPMULTIFAMIL	Annual Update	Completed	1/22/2016
683593	74967DAA5	RMAT 2015-RPL1 LLC	Initial Filing	Completed	3/31/2016
683273	G8278*AA9	SOUTH WEST ARPTS LTD	Initial Filing	Pending	
684130	77879@AA2	ROTOLO CONSULTANTS INC	Initial Filing	Completed	3/2/2016
683745	04472*AM3	ASHLEY FURNITURE INDS INC	Initial Filing	Completed	1/29/2016
685336	883203W@6	TEXTRON INC	Initial Filing	Completed	2/24/2016
683884	12355RAC0	BUTLER CNTY OHIO PORT AUTHECON	Annual Update	Completed	2/3/2016
685352	457985AK5	INTEGRA LIFESCIENCES HLDGSCORP	Initial Filing	Completed	1/21/2016
684852	62971@AB9	N V PERRICONE LLC	Initial Filing	Completed	1/20/2016
685378	G9302#AB1	UNIVERSITY OF WEST LONDON	Initial Filing	Completed	1/28/2016
685469	450828207	IBERIABANK CORP	Initial Filing	Completed	2/16/2016
685019	C8894#AJ4	TOLKO INDS LTD	Annual Update	Completed	3/18/2016
683261	Q6646*AB5	NEW TERM FING CO PTY LTD	Initial Filing	Completed	1/22/2016
686173	13139#103	CALTUS PARTNERS IV L.P.	Annual Update	Completed	1/20/2016
685538	69411#AB0	PACIFIC COAST PRODUCERS	Annual Update	Completed	1/14/2016
683121	C4796#AA1	INTRANSIT BC FIN LTD	Initial Filing	Completed	1/21/2016
685143	06887#AC5	BARRY-WEHMILLER COS INC	Initial Filing	Completed	1/28/2016
684068	80234*AA8	SANTA MARIA REFNG CO	Initial Filing	Pending	
683881	Q6646*AC3	NEW TERM FING CO PTY LTD	Initial Filing	Completed	1/22/2016



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681867	92708EAA9	VILLAGE CMNTY DEV DIST NO 11FL	Initial Filing	Completed	1/26/2016
684918	875124FBO	TAMPA BAY WTR FLA A REGL WTRSU	Initial Filing	Completed	1/26/2016
685418	72177MPP6	PIMA CNTY ARIZ INDL DEV AUTHED	Annual Update	Completed	2/29/2016
683452	05332*BC1	AUTOMOTIVE RENTALS INC	Initial Filing	Completed	1/28/2016
685145	59447TED1	MICHIGAN FIN AUTH REV	Initial Filing	Completed	1/15/2016
684240	G9688#AC3	WINCANTON PLC	Annual Update	Completed	2/4/2016
685134	87611RAA6	TARGACEPT INC	Initial Filing	Completed	2/8/2016
684657	75444JAC8	RAVN AIR GROUP INC. - SYNDICAT	Initial Filing	Terminated	1/27/2016
685407	23586@AA0	DANBURITE FINL LLC	Initial Filing	Expired	1/26/2016
679745	83413EAJ5	SOLAR CCG COML POOL III INC	Initial Filing	Completed	1/19/2016
685321	2354168G2	DALLAS TEX WTRWKS & SWR SYSREV	Initial Filing	Completed	1/22/2016
684264	62942XAD0	NRG YIELD INC	Initial Filing	Completed	2/17/2016
686056	874054AD1	TAKE-TWO INTERACTIVE SOFTWAREI	Annual Update	Completed	2/29/2016
683567	040448A*3	ARISTOTLE CORP	Initial Filing	Completed	1/26/2016
686123	73021#AA6	POAH BRANDY HILL LLC	Annual Update	Completed	2/2/2016
685599	53961*BM7	LOCAL INITIATIVES SUPPORT CORP	Initial Filing	Pending	
684087	80234*AB6	SANTA MARIA REFNG CO	Initial Filing	Pending	
685967	04338*AA3	ARXAN TECHNOLOGIES	Initial Filing	Completed	1/29/2016
685930	98478*AS6	YANKEE GAS SVCS CO	Initial Filing	Completed	1/29/2016
683640	75621#AA5	RECIPE ACQUISITION CORP /ROLAN	Initial Filing	Completed	1/28/2016
683178	05564EBK1	BRE PPTYS INC	Initial Filing	Completed	1/22/2016
686006	64966LQP9	NEW YORK N Y	Initial Filing	Completed	1/20/2016
686065	02564@AA0	AMERICAN ENERGY-UTICA LLC	Annual Update	Completed	1/14/2016
686092	15135#BW1	CENTENNIAL ENERGY HLDGS INC	Initial Filing	Completed	2/10/2016
684636	89559#AA2	TRI-STARR MGMT SVCS INC &LEGAC	Annual Update	Completed	2/8/2016
685388	G9302#AC9	UNIVERSITY OF WEST LONDON	Initial Filing	Completed	1/28/2016
686076	15135#BV3	CENTENNIAL ENERGY HLDGS INC	Initial Filing	Completed	2/10/2016
684710	18055#AV4	CLARION LION PPTYS FD HLDGS LP	Initial Filing	Completed	1/14/2016
685424	744355K@6	PRUDENTIAL RLTY SECS INC	Initial Filing	Completed	2/12/2016
685697	004446AC4	ACETO CORP	Initial Filing	Completed	2/26/2016
685432	48503VAB8	KANSAS CITY MO INDL DEV AUTHSA	Annual Update	Completed	2/8/2016
685965	92848@AA9	VITAL HEALTHCARE CAP	Initial Filing	Pending	
684234	68063*AA9	OLG FIN LLC	Annual Update	Completed	1/21/2016
685405	37637*AB9	GLAC HLDGS LLC	Initial Filing	Expired	1/26/2016
684685	F6902YAA3	ORPAR, COGNAC	Initial Filing	Completed	4/6/2016
683845	B6961#AB4	PURATOS N V	Annual Update	Completed	1/19/2016
683158	K8808*AA3	SIKUKI NUUK HARBOUR A/S	Initial Filing	Expired	3/31/2016



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685335	F2919#AA4	EFRONT HLDG SAS	Initial Filing	Completed	2/5/2016
684243	65537*AB1	NONPROFIT FIN FD	Annual Update	Pending	
685567	38212@AC6	GOOD SOURCE INC	Initial Filing	Completed	1/27/2016
683683	594698MA7	MICHIGAN ST STRATEGIC FD LTDOB	Initial Filing	Completed	1/28/2016
684198	46361*BB1	IRVINE CO LLC / IRVINE LANDCO	Annual Update	Completed	2/3/2016
682830	20047#AB5	COMM-WORKS INVT HLDG CO LLC /C	Initial Filing	Completed	1/14/2016
685573	101205A*3	BOSWELL J G CO	Annual Update	Completed	1/28/2016
686012	91412GZJ7	UNIVERSITY CALIF REVS	Initial Filing	Completed	1/21/2016
681654	31660JAA2	FIERA AXIUM NOVE ACQUISITIONCO	Initial Filing	Expired	2/29/2016
681699	22409*AA9	CP OPCO LLC	Annual Update	Expired	2/1/2016
685545	38212@AE2	GOOD SOURCE INC	Initial Filing	Completed	1/27/2016
683445	78652@AB1	SAFEWORKS LLC	Annual Update	Completed	2/5/2016
684242	956704XW5	WEST VIRGINIA UNIV REVS	Initial Filing	Completed	2/9/2016
685353	92763WAA1	VIPSHOP HLDGS LTD	Initial Filing	Completed	1/28/2016
684222	22006#AC8	CORPORATION FOR SUPPORTIVE HSG	Annual Update	Pending	
684229	62925*AA0	NHP FOUNDATION	Annual Update	Completed	4/14/2016
685119	30648#119	FALLBROOK TECHNOLOGIES INC.	Initial Filing	Completed	2/12/2016
684976	57686GB@3	MATSON INC	Initial Filing	Completed	2/9/2016
683141	D3164#AC2	HERRENKNECHT AG	Annual Update	Completed	1/14/2016
683263	67867NAU4	OKLAHOMA COLLEGES BRD REGTSPKG	Annual Update	Completed	1/26/2016
685984	87278*AD4	TPF EQUITY REIT OPERATINGPARTN	Initial Filing	Completed	1/19/2016
685359	69391#AB4	PLIC HLDGS LLC	Initial Filing	Expired	1/26/2016
686041	71885FDG9	PHOENIX ARIZ INDL DEV AUTH EDR	Annual Update	Completed	3/10/2016
683465	88034#AA9	TENERE INC	Annual Update	Expired	3/31/2016
685373	G7228#AA8	PRESIDENT & SCHOLARS OF THECOL	Initial Filing	Completed	3/18/2016
685392	06974#AA3	BASAL FINL LLC	Initial Filing	Expired	1/26/2016
685347	09323#AD9	BLANCHARD MACHY CO	Initial Filing	Completed	2/29/2016
683684	65003NAG4	NEW YORK ST URBAN DEV CORPCTFS	Initial Filing	Completed	3/3/2016
685362	00248*AA0	AWP INC	Initial Filing	Completed	2/2/2016
681652	93426@AA7	WAREHOUSE LLC	Initial Filing	Expired	2/29/2016
683311	G5304*AA3	KNOWSLEY HSG TR	Initial Filing	Completed	1/15/2016
683527	73020*AD5	PNG COS LLC	Initial Filing	Completed	1/19/2016
679706	83413EAG1	SOLAR CCG COML POOL III INC	Initial Filing	Completed	1/19/2016
683983	75526@AD7	RE VICTOR PHELAN SOLAR ONE LLC	Annual Update	Completed	1/15/2016
685258	36807#AB1	GAULEY RIV PWR PARTNERS L P	Annual Update	Expired	2/1/2016
686131	56501*AA4	MANUS HLDGS LLC	Initial Filing	Completed	2/12/2016
686126	75973#AA9	RELIANT NDT LLC	Annual Update	Completed	1/22/2016



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685308	47714MAA7	JETBLUE AWYS PASS THRU TR	Initial Filing	Completed	2/24/2016
684975	F8629#AA2	SOPARIND S C A	Annual Update	Completed	3/7/2016
685484	54640TAD1	LOUISIANA PUB FACS AUTH SOLIDW	Initial Filing	Completed	3/8/2016
686023	29271NAB6	ENERGY FUTURE INTERMEDIATE HL	Initial Filing	Terminated	2/25/2016
684877	67093#AB9	OPF INVTS LLC	Initial Filing	Completed	1/27/2016
683984	75529@AD4	RE RIO GRANDE LLC	Annual Update	Completed	1/19/2016
682800	G6644#AR9	NORTHGATE PLC	Initial Filing	Completed	1/21/2016
684079	A3585#AD4	KOMMUNALKREDIT AUSTRIA AG	Initial Filing	Completed	1/19/2016
682852	79076MBH6	ST JOSEPH MO INDL DEV AUTH TAX	Annual Update	Completed	1/22/2016
685604	75523@AE8	RAZA DEV FD INC	Initial Filing	Pending	
685656	58940*AA9	MERCURY LD PARTNERS LLC	Initial Filing	Completed	1/14/2016
685069	G47320208	INCHCAPE PLC, LONDON	Initial Filing	Completed	1/28/2016
685903	P8545#AA2	SEARA INDUSTRIA E COMERCIO DEP	Initial Filing	Completed	2/10/2016
685385	23390#AB2	DAIRYLAND USA CORP / CHEFSWARE	Initial Filing	Terminated	1/28/2016
684188	35851@AC8	FRIENDS OF TEAM ACADEMYCHARTER	Annual Update	Completed	2/4/2016
685579	09207#AA6	BLACK DIAMOND OFFSHORE RENTALS	Initial Filing	Completed	2/8/2016
685360	37637*AA1	GLAC HLDGS LLC	Initial Filing	Expired	1/26/2016
683561	39535#AA6	GREENLEAF PWR CONS LLC	Initial Filing	Completed	1/29/2016
684961	9277813F9	VIRGINIA COLLEGE BLDG AUTH VAE	Initial Filing	Completed	1/15/2016
683749	74165MAA5	PRIMESPORT INC	Initial Filing	Completed	2/12/2016
683968	00506*AB5	ACTION RES INC	Initial Filing	Completed	1/21/2016
682991	35701#AB0	FREEWAY LTG PARTNERS LLC	Initial Filing	Completed	1/26/2016
683768	G5304*AC9	KNOWSLEY HSG TR	Initial Filing	Completed	1/15/2016
685164	06887#AE1	BARRY-WEHMILLER COS INC	Initial Filing	Completed	1/28/2016
686045	33767EA*4	FIRSTSERVICE CORP NEW	Initial Filing	Completed	2/3/2016
685762	G5256E441	KINGFISHER PLC, LONDON	Annual Update	Completed	1/28/2016
685547	43761AA*7	HOMESERVE PLC	Initial Filing	Completed	2/11/2016
677924	07331CAA6	BAYVIEW OPPORTUNITY MASTER FDI	Initial Filing	Terminated	3/29/2016
684248	724795AB5	PITTSBURGH & ALLEGHENY CNTY PA	Annual Update	Completed	2/26/2016
684656	G1949*AA3	CASTLEGATE 740 LTD	Initial Filing	Completed	1/22/2016
684858	04777LAB2	ATLANTA GA DEV AUTH STUDENTHSG	Annual Update	Completed	1/29/2016
685293	64966L3D1	NEW YORK N Y	Initial Filing	Completed	1/26/2016
685721	19075*AA8	COBALT FINL LLC	Initial Filing	Completed	2/4/2016
683762	C4862#AB8	ISLAND TIMBERLANDS FIN CORP	Annual Update	Terminated	1/22/2016
684391	0010EQB#2	AEP TEX NORTH CO	Initial Filing	Completed	1/15/2016
684423	74929*AA1	RAVN AIR GROUP INC	Initial Filing	Completed	1/25/2016
685859	28137#AA1	EDRIVING LLC & I DRIVE SAFELYL	Annual Update	Completed	1/28/2016



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683740	15004#AA8	CED CALIF HLDGS 2 LLC	Initial Filing	Completed	2/8/2016
684111	50576#AF2	LADOVE INC	Annual Update	Completed	1/20/2016
684792	62964*AA2	NYSARC INC & AHRC NYC NEWPROJE	Initial Filing	Pending	
684828	88228*AB7	TEXAS CHRISTIAN UNIV	Initial Filing	Completed	2/11/2016
682922	C1467#AC1	CSL GROUP INC	Initial Filing	Completed	1/15/2016
685400	00118@AA5	AEP HLDGS INC	Initial Filing	Completed	2/18/2016
685660	77340#AA1	ROCKHALL FIN LLC	Initial Filing	Completed	2/2/2016
685554	31037*AD4	FARMERS RICE COOP	Annual Update	Pending	
686108	852412H24	STAFFORD CNTY & STAUNTON VAIND	Annual Update	Completed	4/18/2016
685626	53961*BN5	LOCAL INITIATIVES SUPPORT CORP	Initial Filing	Pending	
686019	97786#AL6	WOLSELEY CAP INC	Initial Filing	Completed	2/26/2016
686049	52472#AC0	LEGAL COVERAGE GROUP LTD	Initial Filing	Completed	3/22/2016
683932	76009@AA7	RENSSELAER POLYTECHNIC INST -N	Annual Update	Completed	1/29/2016
686002	744355C#3	PRUDENTIAL RLTY SECS INC	Annual Update	Completed	2/10/2016
684378	458118AB2	INTEGRATED DEVICE TECHNOLOGYIN	Initial Filing	Expired	3/21/2016
686161	412653AQ0	HARLAN IOWA CMNTY SCH DIST LOC	Initial Filing	Completed	2/2/2016
685073	55334@AA7	MPE PWR STRUCTURES INC /TRACHT	Initial Filing	Completed	2/23/2016
685929	G1257*AA9	BOREALIS FDG CO LTD	Annual Update	Completed	2/2/2016
683362	50247#AA2	LW BUYER LLC	Initial Filing	Completed	1/27/2016
686114	660160DG4	NORTH JERSEY DIST WTR SUPPLYCO	Initial Filing	Completed	1/21/2016
685493	92240MBC1	VECTOR GROUP LTD	Annual Update	Completed	2/22/2016
683254	00753CAE2	ADVANCED LTG TECHNOLOGIES INC	Initial Filing	Completed	2/11/2016
685120	66485*AA3	NORTHERN CALIF CMNTY LN FD	Initial Filing	Pending	
684363	48730PAD2	KEENAN DEV ASSOCS TENN LLC	Initial Filing	Expired	3/9/2016
685146	59447TEE9	MICHIGAN FIN AUTH REV	Initial Filing	Completed	1/15/2016
686011	97771*AD5	WOLF-GORDON INC	Initial Filing	Terminated	2/12/2016
685935	P3515#AA9	DEUTSCHE BK MEXICO S AINSTITUC	Initial Filing	Completed	2/24/2016